



Risk Matrix

INTRODUCTION

The Risk Matrix is the firm's principal tool for vetting business risk associated with client engagements. The tool is designed to assist in identifying risk and ensuring that such situations receive appropriate review and approval from the firm's leadership and subject matter experts. The business risks addressed in the Risk Matrix involve a broad range of subject areas and may occur at different stages in the business lifecycle. Effectively addressing these risks requires involvement by senior market leaders and appropriate enterprise services group (ESG) advisors. The Risk Matrix provides mandatory review, notification and approval guidance for Booz Allen people involved in addressing and mitigating these common business risks.

This policy defines the responsibilities of each Booz Allen person in making risk decisions on behalf of the firm.

SUMMARY OF POLICY

Booz Allen's Risk Matrix policy covers all of the following content in detail:

- Related policies at the firm that employees should read in connection with this policy
- Scope of who the policy applies to
- Clearly establishes the rules for employees to obtain the appropriate reviews, counsel and approvals under the Risk Matrix prior to submitting a proposal, executing a contract or making other binding commitments on behalf of the firm
- Describes risk framework and tiers of risk
- Outlines the steps required to either update or seek exemption from the firm's Risk Matrix
- Contains access to the Risk Matrix
- Confirms actions that will be taken when violations of the policy have been identified, employee's duty to report violations of the policy, and the firm's zero tolerance for retaliation against employees who raise a good faith legal or ethical concern
- Provides points of contact and additional resources